

### GLENN A. GRANT, J.A.D.

Acting Administrative Director of the Courts

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To:	Assignment Judges	Directive #02-24	
From:	Trial Court Administrators Glenn A. Grant, J.A.D., Acting Administrative Director	Questions may be directed to the Criminal Practice Division at 609-815-2900, ext. 55300.	
Subj:	Criminal - Procedures for Certificates to Suspend Certain Employment or Occupational Disabilities Related to Criminal Convictions		
Date:	March 4, 2024		

As approved by the Judicial Council, this Directive promulgates procedures and forms that address applications by individuals convicted of criminal offenses who petition the court to issue a Certificate to Suspend Certain Employment or Occupational Disabilities (hereinafter "Certificates") in accordance with N.J.S.A. 2A:168A-7 through -12.

These Certificates may be issued by the "supervising authority" pursuant to N.J.S.A. 2A:168A-7(c)(3). The court is defined as the supervising authority if the petitioner was subject to probation or was not required to serve a period of supervision, and as the State Parole Board if the petitioner served parole supervision. An applicant who is a parolee and who is seeking relief must file an application with the State Parole Board.

The attached forms include: (a) a Summary of Eligibility (Attachment 1); (b) the Application to Suspend Employment/Occupational Disabilities (Attachment 2); (c) the Certificate Suspending Employment/Occupational Disabilities (Attachment 3); (d) Notice of Ineligibility (Attachment 4); and (e) Revocation of Certificate (Attachment 5).









The procedures contained herein and the attached forms apply <u>only</u> to requests for Certificates filed with the courts. Attorneys, on behalf of their clients, must file all such applications on notice to the County Prosecutor or the Attorney's General Office in eCourts in the county where the person is being sentenced or was sentenced. Self-represented litigants must file all such applications, with notice to the County Prosecutor or the Attorney's General Office, in the Judiciary Electronic Document Submission (JEDS) system. JEDS may be accessed using the link provided: <u>https://www.njcourts.gov/self-help/jeds</u>

For information on filing an application with the Parole Board see <u>www.nj.gov/parole/services/certificates</u>.

### I. Purpose of Certificate

The purpose of a Certificate is to relieve disabilities, forfeitures, or bars, except those required or established by federal law, that otherwise would apply to persons convicted of criminal offenses related to:

(1) public employment by a State, county or municipal agency, excluding elected office, or employment in law enforcement, corrections, the judiciary, a position related to homeland security or emergency management, or any position that has access to sensitive information that could threaten the public health, welfare, or safety. <u>See</u> N.J.S.A. 2A:168A-7(c)(1);

(2) qualification for a license or certification to practice certain professions, occupations or businesses, except the practice of law, or as a mortgage loan originator, or residential mortgage lender or residential mortgage broker as a qualified individual licensee, pursuant to the "New Jersey Residential Mortgage Lending Act." See N.J.S.A. 2A:168A-7(b)(2); or

(3) admission to an examination for that license or certification, except for the bar examination, a qualified written test for a mortgage loan originator, or residential mortgage lender or broker as a qualified individual licensee, or an examination for a law enforcement, homeland security, or emergency management position. <u>See N.J.S.A. 2A:168A-7(b)(3)</u>.









### II. Limitations

The Certificate only relieves the petitioner from disabilities, forfeitures, or bars that were the result of a criminal conviction. An employer, or a licensing or regulatory entity, may have another basis or other bases for barring a petitioner from obtaining a license or certification, or from employment in a specific profession, occupation or business. Further, the mandates in N.J.S.A. 2A:168A-7 through -12 do not apply to private employers.

### III. Qualified Offenders (Eligibility)

Pursuant to N.J.S.A. 2A:168A-7(c)(2), a person is a "qualified offender" eligible for a Certificate if the person has one criminal conviction or has convictions for more than one crime charged in separate counts of one indictment or accusation. Multiple convictions charged in two indictments or two accusations, or one indictment and one accusation filed in the same court prior to entry of judgment under any of them, shall be deemed to be one conviction for purposes of Certificate eligibility. Convictions of crimes entered more than ten years prior to an application for a Certificate shall not be considered in determining whether a person has one criminal conviction.

A person seeking a Certificate at the time of sentencing is a "qualified offender" if the person will have one conviction, as described above, upon sentencing and entry of the judgment of conviction.

### IV. Notice to Prosecutor

The applicant shall provide notice and a copy of the application to the County Prosecutor or the Attorney General's Office that prosecuted their case. Notification of the pendency of an application and issuance of a certificate shall be provided by the court to that office. <u>See</u> N.J.S.A. 2A:168A-10.

### V. Issuance of Certificate

The issuance of a Certificate depends on the qualified offender's status when the application is filed.





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### (1) Applications At the Time of Sentencing (N.J.S.A. 2A:168A-8(a))

If the application is sought at the time of sentencing, a Certificate may be issued if the applicant:

(a) is a qualified offender, who is being sentenced to a nonincarcerative sentence for a second, third, or fourth degree crime;

(b) has established that a specific licensing or employment disqualification, forfeiture or bar, will apply to the applicant, and may endanger the applicant's ability to maintain existing public employment or employment for which the applicant has made application, or to engage in a business enterprise for which a license or certification is required;

(c) has no pending criminal charges, and there is no information presented that such a charge is imminent; and

(d) has established that issuance of a Certificate is consistent with the public interest.

# (2) Applications For Those Sentenced to Probation or No Supervision (N.J.S.A. 2A:168A-8(b))

A Certificate may be issued for a qualified offender who is or was subject to probation or who was not required to serve a period of supervision if it is determined that:

- (a) the applicant is convicted of a second, third, or fourth degree offense and is otherwise eligible for relief under subsection N.J.S.A. 2A:168A-8(c);
- (b) the applicant has not been convicted of a crime since the conviction for which the person is under supervision, does not have a pending criminal charge, and there is no information presented that such a charge is imminent;









- (c) issuing the certificate will not pose a substantial risk to public safety; and
- (d) issuing the certificate will assist in the successful reintegration of the offender and is consistent with the public interest.

*Note*: Certain convictions will render the person ineligible for a Certificate, for example NERA crimes (N.J.S.A. 2C:43-7.2), second degree crimes defined in chapters 13, 14, 15, 16, 24, 27, 30, 33, 38 of Title 2C of the New Jersey Statutes, and Megan's Law crimes under N.J.S.A. 2C:7-2. <u>See</u> N.J.S.A. 2A:168A-8(c).

# (3) Applications Three Years after Completion of the Sentence (N.J.S.A. 2A:168A-8(d))

A Certificate may be issued to a qualified offender three years after completion of the incarcerative or supervisory portion of their sentence, whichever is later, if it is determined that:

(a) does not pose a substantial risk to public safety;

(b) issuing the certificate does not pose a substantial risk to public safety; and;

(c) issuing the certificate will assist in the successful reintegration of the offender and is consistent with the public interest.

(d) the conviction is not subject to any of the disqualifying convictions enumerated in N.J.S.A. 2A:168A-8(e).

### VI. Revocation of Certificate

Pursuant to N.J.S.A. 2A:168A-11, a Certificate shall no longer be valid if the person is subsequently indicted for a first- or second-degree crime or convicted of a





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crime. Upon presentation of proof that the criminal charges or indictment have been dismissed, or of an acquittal after trial, the court may reinstate a revoked Certificate.

A Certificate may also be revoked at any time upon application of the prosecutor or on the court's own initiative when information is received that circumstances have materially changed such that the Certificate would no longer be authorized or is no longer in the public interest. See N.J.S.A. 2A:168A-11(c).

A copy of the Certificate or notice of ineligibility or revocation of same shall be sent to the Administrative Office of the Courts, Criminal Practice Division, by email to AOCCrimPrac.mbx@njcourts.gov.

Any questions regarding the Directive and the attached forms may be directed to the Criminal Practice Division at 609-815-2900, ext. 55300, or by email to AOCCrimPrac.mbx@njcourts.gov.

### G.A.G.

Attachments:

Attachment #1 – Summary of Eligibility Attachment #2 – Application to Suspend Employment/Occupational Disabilities Attachment #3 – Certificate Suspending Employment/Occupational Disabilities Attachment #4 – Notice of Ineligibility Attachment #5 – Revocation of Certificate

cc: Chief Justice Stuart Rabner Criminal Division Judges Steven D. Bonville, Chief of Staff AOC Directors and Assistant Directors Special Assistants to the Administrative Director Criminal Division Managers and Assistants Justin Moles, Manager, Criminal Practice









Summary of Eligibility:

Issuance & Revocation of Certificates to Suspend Certain Employment or Occupational Disabilities Related to Criminal Convictions



# Summary of Eligibility:

## Issuance & Revocation of Certificates to Suspend Certain Employment or Occupational Disabilities Related to Criminal Convictions

(N.J.S.A. 2A:168A-7 through N.J.S.A. 2A:168A-12)

Rev. 03/2024

### Summary of Eligibility: Certificate to Suspend Employment/Occupational Disabilities

### I. Purpose:

A certificate can suspend one or more disabilities, forfeitures or bars to:

- A. Public employment by a State, County or Municipal agency excluding elected office, or employment in law enforcement, corrections, the judiciary, positions related to homeland security or emergency management, or any position that has access to sensitive information that could threaten the public health, welfare, or safety.
- B. Qualification for a license or certification to engage in the practice of a profession, occupation or business, except the practice of law, or as a mortgage loan originator, or residential mortgage lender or residential mortgage broker as a qualified individual licensee, pursuant to the "New Jersey Residential Mortgage Lending Act."
- C. Admission to an examination to qualify for a license or certification except for the bar examination, a qualified written test for a mortgage loan originator, or residential mortgage lender or broker as a qualified individual licensee, or an examination for a law enforcement, homeland security, or emergency management position.

### **II. Limitations:**

The Certificate only relieves the petitioner from disabilities, forfeitures or bars that were the result of a criminal conviction. An employer, or a licensing or regulatory entity, may have another basis or other bases for barring a petitioner from obtaining a license or certification, or from employment in a specific profession, occupation or business. Further, the mandates in N.J.S.A. 2A:168A-7 through -12 do not apply to private employers.

### **III. Qualified Offenders (Eligibility):**

- A. A person with one criminal conviction or who has convictions for more than one crime charged in separate counts of one indictment or accusation.
- B. Multiple convictions charged in two indictments or two accusations, or one indictment and one accusation filed in the same court prior to entry of judgment under any of them shall be deemed to be one conviction.

- C. Convictions of crimes entered more than 10 years prior to an application for a Certificate shall not be considered in determining whether a person has one criminal conviction.
- D. A person seeking relief at sentencing who will have one conviction upon sentencing and issuance of the judgment of conviction.

### **IV. Notice:**

In all cases, the applicant shall provide a copy of the application to the County Prosecutor or Attorney General's Office that prosecuted the case. Notification of the pendency of an application and issuance of a Certificate shall be provided by the Court to that office.

### V. Issuance:

A. The Court may issue a Certificate at the time of sentencing if the applicant:

- 1. is a qualified offender being sentenced to a non-incarcerative sentence for a second, third, or fourth degree crime;
- 2. has established **a specific** licensing or employment disqualification, forfeiture, or bar will apply and may endanger their ability to maintain existing public employment or employment for which he has made application, or to engage in a business for which license or certification is required;
- 3. has no pending criminal charges and there is no information presented that such charges are imminent; and

4. has established that issuance of the Certificate is consistent with the public interest.

Certificates issued pursuant to this section shall apply only to the specific disability, forfeiture or bar that is affected, which must be specifically described in the Certificate.

- B. The Court may issue a certificate to a qualified offender who is or had previously been under Probation supervision or was not required to serve any period of supervision if:
  - 1. the applicant is convicted of a second, third, or fourth degree offense;
  - 2. the applicant has not been convicted of a crime since the conviction for which the person is under supervision, does not have a pending criminal charge, and there is no information presented that such a charge is imminent;

- 3. issuing the Certificate will not pose a substantial risk to public safety; and
- 4. issuing the certificate will assist in the successful reintegration of the offender and is consistent with the public interest.
- 5. the applicant was not convicted of an offense enumerated in N.J.S.A. 2A:168A-8(c). (See Section D Exclusions from Relief below).

Certificates issued pursuant to this section may suspend disabilities, forfeitures and bars generally within the limits of this Act, or only certain disabilities, forfeitures and bars specifically named in the certificate.

C. A Certificate may be issued to a qualified offender three years after completion of the incarcerative or supervisory portion of their sentence, whichever is later, if:

- (a) does not pose a substantial risk to public safety;
- (b) will assist in the successful reintegration of the person and is consistent with public interest;
- (c) has remained without criminal involvement since the conviction, including that the person has not subsequently been convicted of a crime, has no pending charges for any crime, and there is no information presented that such a charge is imminent; and
- (d) the conviction is not subject to any of the disqualifying convictions enumerated in N.J.S.A. 2A:168A-8(e). (See Section D below).
- D. Exclusions From Relief: (Not applicable if the application is made at the time of sentencing. See Section V.A).
  - 1. Anyone convicted of a first-degree crime.
  - 2. Anyone convicted of an offense covered by the No Early Release Act (N.J.S.A. 2C:43-7.2).
  - 3. Anyone convicted of a second-degree offense defined in Title 2C Chapters 13, 14, 15, 16, 24, 27, 30, 33 or 38<sup>1</sup>.
  - 4. Anyone convicted of Endangering the Welfare of Children (N.J.S.A. 2C:24-4a or N.J.S.A. 2C:24 4 b(4).

<sup>&</sup>lt;sup>1</sup> This exclusion does not apply to applicants if three years have passed since the completion of the incarceration or supervisory portion of the sentence, whichever is later.

5. Anyone convicted of a crime requiring Registration of Sex Offenders (N.J.S.A. 2C:7-2).

6. Anyone convicted of a crime committed against a public entity or a public officer<sup>1</sup>.

- 7. A public employee convicted of the following offenses contained in N.J.S.A. 43:1-3.1b which involved or touched upon the employee's office, position or employment, such that the crime was related directly to the person's performance in, or circumstances flowing from, the specific public office or employment held by the person.
  - a. Criminal Coercion N.J.S.A. 2C:13-5a(4).
  - b. Theft by Deception N.J.S.A 2C:20-4 if the amount exceeds \$10,000.
  - c. Theft by Extortion N.J.S.A 2C:20-5d.
  - d. Theft by Failure to Make Required Disposition of Property Received N.J.S.A 2C:20-9 if the amount exceeds \$10,000.
  - e. Commercial Bribery N.J.S.A 2C:21-10.
  - f. Money Laundering N.J.S.A 2C:21-25.
  - g. False Contract Payment Claims N.J.S.A 2C:21-34.
  - h. Bribery in Official Matters N.J.S.A 2C:27-2.
  - Threats and other Improper Influence in Official and Political Matters N.J.S.A 2C:27-3.
  - j. Unlawful Official Business Transaction Where Interest is Involved N.J.S.A 2C:27-9.
  - k. Acceptance or Receipt of Unlawful Benefit by Public Servant for Official Behavior

N.J.S.A 2C:27-10.

1. Offer of Unlawful Benefit to Public Servant for Official Behavior N.J.S.A 2C:27-11.

m. Perjury N.J.S.A 2C:28-1.

- n. Tampering with Witnesses N.J.S.A 2C:28-5.
- o. Tampering with Public Records or Information N.J.S.A 2C:28-7.
- p. Compounding N.J.S.A 2C:29-4.
- q. Official Misconduct N.J.S.A 2C:30-2.

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- r. Speculating or Wagering on Official Action or Information N.J.S.A 2C:30-3.
- s. Pattern of Official Misconduct N.J.S.A 2C:30-7.
- 8. Anyone convicted of a crime against a person 16 years of age or younger or a disabled or handicapped person.

9. Anyone convicted of a conspiracy or attempt to commit any of the crimes described in this section.

### **VI. Revocation of Certificate:**

- A. A Certificate shall no longer be valid if the person is indicted for a first or second degree crime or convicted of a crime.
- B. A Certificate may be revoked at any time upon application of the prosecutor or on the Court's own initiative when information is received that circumstances have materially changed such that the relief would not be authorized or is no longer in the public interest.
- C. Upon presentation of satisfactory proof that the criminal charges or indictment have been dismissed, or of an acquittal after trial, a revoked Certificate may be reinstated by the Court.

### **Superior Court of New Jersey**

## Instructions for Completing Application to Suspend Employment/Occupational Disabilities Related to Criminal Convictions

- Attorneys, on behalf of their clients, must file all such applications on notice to the County Prosecutor or the Attorney's General Office in eCourts in the county where the person is being sentenced or was sentenced. Self-represented litigants must file all such applications on notice to the County Prosecutor or the Attorney's General Office in the Judiciary Electronic Document Submission (JEDS) system. JEDS can be accessed using the link provided: <u>https://www.njcourts.gov/selfhelp/jeds</u>.
- 2. Provide a copy to the law enforcement agency that prosecuted your case (County Prosecutor or Attorney General's Office).
- 3. If you are seeking suspension of a disability as a result of a conviction for which you have yet to be sentenced, please submit this application prior to your sentence date in order to reduce any delay in processing.

If you have any questions regarding this application please contact the Criminal Division. A directory for the Criminal Division can be found at <u>www.njcourts.gov/public/directories.html</u>.

Application to Suspend Certain Employment/ Occupational Disabilities Related to Criminal Convictions (CN 12535)

New Jersey Courts	New Jersey Judiciary Criminal Division Application to Suspend Employment/Occupational Disabilities Related to Criminal Convictions				
Name					
Address:	Street	City	S	tate	Zip Code
Telephor	ne	I	I		
	king suspension of the followinal conviction.	wing employment/occup	pational disa	abilitio	es related to
Reason(s	s) for seeking suspension (at	tach separate page if ne	cessary)		
Employn	nent/occupational disability	is due to the following	conviction:		
Date of S	Sentence:	Indictment/Accusat	tion/Compla	aint N	umber:
Charge(s	): s/	1			J.S.C.

cc: Prosecutor (County Prosecutor or Attorney General)

Certificate Suspending Employment/Occupational Disabilities Related to Criminal Convictions (CN 12537)

		Superior Court of New Jersey Law Division – Criminal Part
State of New Jersey		County
	Plaintiff,	Indictment Number:
V.		SBI Number:
	Defendant.	Certificate

Suspending Employment/ Occupational Disabilities Related to Criminal Convictions

Application having been made by the above captioned defendant for relief from certain employment or occupational disabilities related to a criminal conviction, and notice having been given to the County Prosecutor, and the Court having found that the defendant is a qualified offender pursuant to N.J.S.A. 168A-7 et. seq. and that the relief sought is consistent with the public interest,

**THEREFORE**, the court **GRANTS** the applicant's request to be relieved from the following employment or occupational disabilities, forfeitures or bars that were imposed as a result of a conviction in the above captioned matter:

This certificate shall further act as presumptive evidence of the above captioned subject's rehabilitation when considered in regard to public employment or in conjunction with any licensing or certification process to which this applies, which in any particular case may or may not be overcome by other evidence or information. This certificate shall not prevent any judicial, administrative, licensing or other body, board, authority or public official from relying on grounds other than the fact of the referenced criminal conviction in exercising any discretionary authority, if any, to suspend, revoke, refuse to issue or refuse to renew any license, permit or other authority or privilege or to determine eligibility or suitability for employment.

This certificate is not binding upon a private employer. A private employer may, in its sole and complete discretion, consider this certificate in making employment decisions.

Date

S

Any questions regarding this certificate should be directed to: Name:

Telephone:

LS.C.

cc: Defendant Prosecutor or Attorney General Administrative Office of the Courts Criminal Practice Division

Notice of Ineligibility - Suspending Employment/Occupational Disabilities Related to Criminal Convictions (CN 12536)



www.njcourts.gov	Supe	erior Court of New Je	ersey	
2	Notice of Ineligibility			
	Suspending Employme	ent/Occupational	Disabilitie	s Related to
Fairness • Quality Service	Cı	riminal Convictio	ns	
Applica	ant Name			
Addres	s: Street			
City			State	Zip Code
-	oplication to suspend employm	nent or occupational of	disabilities re	lating to your
	ent/Accusation/Complaint Nued by the court.	mber		has been
Based on the information provided in your application and the eligibility criteria set forth in N.J.S.A. 2A:168A-7 et. seq., your application is denied for the following reason(s):				
	s/			
Date				J.S.C.
Any qu	estions regarding this Notice s	hould be directed to:		
Name:		Telephone:		

cc: Defendant

Prosecutor or Attorney General Administrative Office of the Courts Criminal Practice Division

Revocation of Certificate (NJSA 2A:168A-11) - Suspending Employment/Occupational Disabilities Related to Criminal Convictions (CN 12538)

State of New Jersey Plaintiff, v.	Superior Court of New Jersey Law Division – Criminal Part County Indictment Number: SBI Number:		
Defendant.	<i>Revocation of Certificate</i> (N.J.S.A. 2A:168A-11)		
	Suspending Employment/ Occupational Disabilities Related to Criminal Convictions		
On the Court issued a certificate to you granting occupational disabilities related to your conviction on the above c Number. □ Upon application of the prosecutor			
□ Upon the court's own initiative The court hereby revokes the certificate for the following reason(s).			

s/

Date

J.S.C.

A person who knowingly uses or attempt to use a revoked certificate, or a certificate that is no longer valid, in order to obtain a benefit or avoid a disqualification shall be guilty of a disorderly persons offense pursuant to N.J.S.A. 2A:168A-11.

cc: Defendant Prosecutor or Attorney General Administrative Office of the Courts Criminal Practice Division